# SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287				
Estimated average burden				
hours per response:	0.5			

11. Nature

of Indirect

Beneficial

Ownership

(Instr. 4)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> DEANE JOHN D		g Person <sup>*</sup>	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>NCS Multistage Holdings, Inc.</u> [NCSM ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
				X Director 10% Owner				
			-	Officer (give title Other (specify				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	below) below)				
C/O NCS MU	ULTISTAGE H	OLDINGS, INC.	03/02/2022					
19350 STATE HIGHWAY 249, SUITE 600		49, SUITE 600						
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)				X Form filed by One Reporting Person				
HOUSTON	TX	77070	_	Form filed by More than One Reporting Person				
(City)	(State)	(Zip)						

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	03/02/2022		A		3,038	A	\$ <mark>0</mark>	15 <b>,99</b> 7 <sup>(1)</sup>	D	
Common Stock								10,731 <sup>(2)</sup>	I	By Family Partners Limited <sup>(2)</sup>

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 6. Date Exercisable and 7. Title and 9. Number of 5. Number 8. Price of 10. Expiration Date (Month/Day/Year) Derivative Conversion Date **Execution Date** Transaction Amount of Derivative derivative Ownership of (Month/Day/Year) Security or Exercise if any Code (Instr. Derivative Securities Security Securities Form: Direct (D) Price of Derivative Security (Month/Dav/Year) (Instr. 3) 8) Securities Underlying Derivative (Instr. 5) Beneficially Acquired (A) or Owned Following or Indirect (I) (Instr. 4) Security (Instr. 3 and 4) Reported Transaction(s) (Instr. 4) Disposed of (D) (Instr. 3, 4

and 5)

(A)

v

Code

#### Explanation of Responses:

1. Includes 794 vested restricted stock units which settle within thirty days following the earliest of (i) one year following the termination of the Reporting Person's service for any reason other than cause, (ii) a change of control or (iii) the fifth anniversary of the grant date. Also, includes 3,038 restricted stock units which vest and settle on February 28, 2023.

Date

Exercisable

(D)

Expiration

Date

2. These securities are held by the Deane Family Partnership Limited, a limited partnership of which the Reporting Person is the sole general partner. The Reporting Person disclaims beneficial ownership of the Issuer's securities held by the Deane Family Partnership Limited except to the extent of his pecuniary interest therein.

/s/ Ori Lev, attorney-in-fact 03/04/2022

\*\* Signature of Reporting Person Date

Amount or Number

Shares

٥f

Title

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).