SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of R ADVENT INTER CORP/MA (Last) (First) C/O ADVENT INTER CORPORATION 75 STATE STREET, 2 (Street) BOSTON MA (City) (State)	(Middle) RNATIONAL	Re (Me	Date of Event quiring Statem onth/Day/Year /27/2017	ient ]	<ol> <li>Issuer Name and Ticker or Tra <u>NCS Multistage Holdin</u></li> <li>Relationship of Reporting Pers (Check all applicable) Director X Officer (give title below)</li> </ol>	ading Symbol <u>ngs, Inc.</u> [	er er	5. If <i>J</i> (Mor 04/2	nth/Day/Year) 27/2017 dividual or Joint icable Line) Form filed b	ate of Original Filed I/Group Filing (Check y One Reporting Person y More than One erson
		Та	ble I - Non	-Derivati	ve Securities Beneficial	lly Owned				
1. Title of Security (Instr.	4)				Amount of Securities eneficially Owned (Instr. 4)	3. Ownersh Form: Direc or Indirect (Instr. 5)	cṫ (D)	4. Nat (Instr.		Beneficial Ownership
Common Stock	nmon Stock				30,943,536 <sup>(1)(2)(3)(4)(5)(6)</sup> I			See Notes <sup>(1)(2)(3)(4)(5)(6)</sup>		
		•	Table II - D	erivative	Securities Beneficially	Owned				
					nts, options, convertible		s)			
1. Title of Derivative Sec	urity (Instr. 4)	I	2. Date Exerc Expiration Da (Month/Day/Y	ate	3. Title and Amount of Secur Underlying Derivative Secur		4. Conve or Exe Price	ercise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Deriva	ative	or Indirect (I) (Instr. 5)	
1. Name and Address of R ADVENT INTER (Last) (I	First)	(Middle)								
75 STATE STREET, 2										
(Street) BOSTON	ИА	02109								
(City) (S	State)	(Zip)								
1. Name and Address of R <u>Advent Internatio</u> <u>Partnership</u>		I Limited	1							
(Last) (I C/O ADVENT INTEL 75 STATE STREET, 2		(Middle) RPORATI	ON							
(Street) BOSTON	ИА	02109								
(City) (S	State)	(Zip)								
1. Name and Address of R Advent-NCS Acg	eporting Person*		<u>rship</u>							
(Last) (I C/O ADVENT INTE 75 STATE STREET, 2		(Middle)	ON							

(Street) BOSTON	МА	02109		
,				
75 STATE STI	REET, 29TH FLOO	DR		
		AL CORPORATION		
(Last)	(First)	(Middle)		
1. Name and Addi Advent-NC	ress of Reporting Pers S GP LLC	on*		
(City)	(State)	(Zip)		
(Street) BOSTON	МА	02109		

## Explanation of Responses:

1. Represents 30,943,536 shares held directly by Advent-NCS Acquisition Limited Partnership ("Advent-NCS LP"), of which 3,864,846 shares are indirectly owned by Advent International GPE VII Limited Partnership ("AI GPE VII-A"), 8,989,098 shares are indirectly owned by Advent International GPE VII-A Limited Partnership ("AI GPE VII-A"), 8,989,098 shares are indirectly owned by Advent International GPE VII-B Limited Partnership ("AI GPE VII-C"), (CONTINUED IN NEXT FOOTNOTE)

2. (CONTINUTED FROM PREVIOUS FOOTNOTE) 2,314,575 shares are indirectly owned by Advent International GPE VII-D Limited Partnership ("AI GPE VII-E"), 835,476 shares are indirectly owned by Advent International GPE VII-E Limited Partnership ("AI GPE VII-E"), 835,476 shares are indirectly owned by Advent International GPE VII-E Limited Partnership ("AI GPE VII-E"), 835,476 shares are indirectly owned by Advent International GPE VII-F Limited Partnership ("AI GPE VII-F"), 835,476 shares are indirectly owned by Advent International GPE VII-F Limited Partnership ("AI GPE VII-F"), 835,476 shares are indirectly owned by Advent International GPE VII-F Limited Partnership ("AI GPE VII-F"), 835,476 shares are indirectly owned by Advent International GPE VII-F Limited Partnership ("AI GPE VII-F"), 504,381 shares are indirectly owned by Advent International GPE VII-H Limited Partnership ("AI GPE VII-F"), 504,381 shares are indirectly owned by Advent International GPE VII-H Limited Partnership ("AI GPE VII-F"), 504,381 shares are indirectly owned by Advent Partners GPE VII-H Limited Partnership ("AI GPE VII-F"), 30,945 shares are indirectly owned by Advent Partners GPE VII-A Limited Partnership ("AP GPE VII"), 30,945 shares are indirectly owned by Advent Partners GPE VII-A Limited Partnership ("AP GPE VII"), 30,945 shares are indirectly owned by Advent Partners GPE VII-A Limited Partnership ("AP GPE VII"), 30,945 shares are indirectly owned by Advent Partners GPE VII-A Limited Partnership ("AP GPE VII"), 30,945 shares are indirectly owned by Advent Partners GPE VII-A Limited Partnership ("AP GPE VII"), 30,945 shares are indirectly owned by Advent Partners GPE VII-A Limited Partnership ("AP GPE VII"), 30,945 shares are indirectly owned by Advent Partners GPE VII-A Limited Partnership ("AP GPE VII"), 30,945 shares are indirectly owned by Advent Partners GPE VII-A Limited Partnership ("AP GPE VII"), 30,945 shares are indirectly owned by Advent Partners GPE VII-A Limited Partnership ("AP GPE VII"), 30,945 shares are indirectly o

3. (CONTINUTED FROM PREVIOUS FOOTNOTE) 303,246 shares are indirectly owned by Advent Partners GPE VII-B Cayman Limited Partnership ("AP GPE VII-B Cayman"), 272,304 shares are indirectly owned by Advent Partners GPE VII-B Cayman Limited Partnership ("AP GPE VII Cayman") and 71,169 shares are indirectly owned by Advent Partners GPE VII-A Cayman Limited Partnership ("AP GPE VII-A Cayman").

4. Advent International Corporation ("AIC") is the manager of Advent International LLC ("AILLC") and of Advent International GPE VII, LLC ("AI GPE VII GP"). AI GPE VII GP is the general partner of each of AP GPE VII. AP GPE VII. AP GPE VII Cayman, AP GPE VII-A Cayman and AP GPE VII-B Cayman (collectively, the "AP Funds"). AI GPE VII GP also is the general partner of GPE VII GP Limited Partnership ("GPE VII GP"), which is the general partner of each of AI GPE VII-A, AI GPE VII-B, AI GPE VII-B, Cayman and AP GPE VII-B, AI GPE VII-B, AI GPE VII GP also is the general partner of GPE VII GP UI-A, AI GPE VII-A, AI GPE VII-A, AI GPE VII-B, AI GPE VII-B, AI GPE VII-B, AI GPE VII GP Limited Partnership ("GPE VII GP"), which is the general partner of each of AI GPE VII-B, AI GPE VII-B, AI GPE VII-B, AI GPE VII-A, AI GPE VII-B, AI GPE VII-B, AI GPE VII-C, AI GPE VII-A, AI GPE VII-G (collectively, the "GPE VII GP DE Funds").

5. Advent-NCS GP LLC ("Advent-NCS GP") is the general partner of Advent-NCS LP. The AP Funds, GPE VII GP Funds and GPE VII GP DE Funds collectively own 100% of the limited partnership interests in Advent-NCS LP.

6. Each of AIC, AILLC, AI GPE VII GP, GPE VII GP, GPE VII GP DE, Advent-NCS GP, Advent-NCS LP, each of the AP Funds, each of the GPE VII GP Funds and each of the GPE VII GP DE Funds are referred to herein as the "Reporting Persons". Each Reporting Person disclaims Section 16 beneficial ownership of the shares reported herein except to the extent of its respective pecuniary interest therein, if any, and the inclusion of these shares in their report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or any other purpose.

## Remarks:

This Form 3 is the third of three Forms 3 being filed relating to the same event. The Form 3 has been split into three filings because there are more than 10 Reporting Persons in total, and the SEC's EDGAR filing system limits a single Form 3 to a maximum of 10 Reporting Persons. Each Form 3 will be filed by Designated Filer Advent International Corporation. This amended and restated report on Form 3/A is being filed to add an additional Advent International GPE VII-H Limited Partnership as an Reporting Person.

<u>/s/ Richard Terranova,</u> <u>Attorney-in-Fact for ADVENT</u> <u>INTERNATIONAL</u> <u>CORP/MA</u> /s/ Richard<u>Terranova,</u>

Attorney-in-Fact for ADVENT INTERNATIONAL GPE VII-H LIMITED PARTNERSHIP

/s/ Richard Terranova, Attorney-in-Fact for ADVENT-NCS 05 ACQUISITION LIMITED PARTNERSHIP

05/04/2017

<u>/s/ Richard Terranova,</u> <u>Attorney-in-Fact for</u> <u>ADVENT-NCS GP LLC</u>

05/04/2017

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.